

**Express Mail Label Number ER 913328488 US**

Attorney's Docket No. 2002DE118

PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Olaf SCHUPP, et al. : Attorney Docket: 2002DE118  
Serial No.: to be assigned :  
Filed: December 17, 2004 :  
For: Method For Producing Disazo Pigments

Transmittal LetterNotification of Amendments Under PCT Article 34

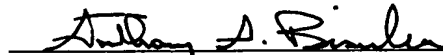
Mail Stop  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note the attached pages numbered 30 - 32, as filed with the European Patent Office on August 23, 2004. We enclose copies of the above-mentioned pages for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,



Anthony A. Bisulca  
Attorney for Applicant  
Registration No. 40,913

**(CUSTOMER NUMBER 25,255)**

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, NC 28205  
Phone 704 331-7151  
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10/519218

DT15 Rec'd PCT/STO 17 DEC 2004  
Attorney's Pocket No. 2002DE118

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Express Mail Label Number ER 913328488 US**

**Date of Mailing: December 17, 2004**

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

**Inventor:** Olaf SCHUPP  
Felix W. GRIMM  
Manfred OPRAVIL  
Joachim WEBER

**For:** Method For Producing Disazo Pigments

**International Application No.:** PCT/EP03/05520  
**International Filing Date:** 27/05/2003 (27 May 2003)  
**Priority Filing Date:** 20/06/2002 (20 June 2002)

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

  
\_\_\_\_\_  
Vicki L. Sgro

FORM PTO-1390  
(REV. 01/2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

2002DE118

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

unknown **10/519218**

INTERNATIONAL APPLICATION NO.

PCT/EP03/05520

INTERNATIONAL FILING DATE

27 May 2003

PRIORITY DATE CLAIMED

20 June 2002

TITLE OF INVENTION

Method For Producing Disazo Pigments

APPLICANT(S) FOR DO/EO/US

Olaf SCHUPP, Felix W. GRIMM, Manfred OPRAVIL, Joachim WEBER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Has been communicated by the International Bureau.
  - c. ☐ Is not required, as the application was filed in the United States Receiving Office (Ro/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ Has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Have been communicated by the International Bureau.
  - c. ☐ Have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ Have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter .2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Express Mail Label Number: ER913328488US; copy of the International Search Report; copy of IPER with amended sheets.

Customer Number 25,255

|   |   |                                       |
|---|---|---------------------------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.51)<br>unknown: <b>10/519218</b> | INTERNATIONAL APPLICATION NO.<br>PCT/EP03/05520 | ATTORNEY'S DOCKET NUMBER<br>2002DE118 |
|---|---|---------------------------------------|

21. ☒ The following fees are submitted:  
**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**  
Neither international preliminary examination fee (37 CFR 1.482)  
Nor international search fee (37 CFR 1.445(a)(2) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1,110.00  
International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO.....\$ 950.00  
International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search fee (37 CFR 1.482) paid to USPTO.....\$790.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....\$750.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33 (1)-(4) .....\$100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT =**

| CALCULATIONS PTO USE ONLY       |                 |
|---------------------------------|-----------------|
| <b>FEE VALUE ACCOUNTABILITY</b> |                 |
| DEPOSIT ACCOUNT NO.             |                 |
| 03 2060                         |                 |
| FEE CODE                        | VALUE FURNISHED |
| 1632                            | 500             |
| 1642                            | 400             |
| \$ 950.00                       |                 |
| \$ 0.00                         |                 |

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
From the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE       | \$       |
|---|--------------|--------------|------------|----------|
| Total claims                                | 23 - 20 =    | 3            | x \$18.00  | \$ 54.00 |
| Independent claims                          | 3 - 3 =      | 0            | x \$88.00  | \$ 0.00  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              | + \$300.00 | \$ 0.00  |

**TOTAL OF ABOVE CALCULATIONS =** \$ 1,004.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fee is reduced by 1/2.  
AB/03/2005 WALVARAD 00000007 032060 10519218  
Sale Ref: 00000011 DAW: 032060 10519218  
01 FC:1642 400.00 DA \$ 0.00

**SUBTOTAL =** \$ 1,004.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
From the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =** \$ 1,004.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

**TOTAL FEES ENCLOSED =** \$ 1,044.00

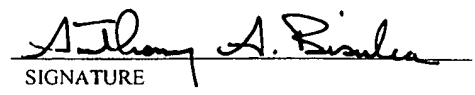
|                        |    |
|------------------------|----|
| Amount to be refunded: | \$ |
|                        |    |
| charged:               | \$ |

Adjustment Date: 08/03/2005  
01705/2005 NKAYPAGH 00000061 032060 10519218  
02 FC:1632

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 03-2060 in the amount of \$ 1,044.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
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Charlotte, North Carolina 28205  
Telephone: 704-331-7151  
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SIGNATURE

Anthony A. Bisulca  
NAME